SUPERINTENDENCIA DEL MERCADO DE VALORES

CASA DE VALORES

LICENCIAS CANCELADAS MEDIANTE PROCESOS DE LIQUIDACIÓN VOLUNTARIA

Actualizado al 30 de septiembre de 2026

No.	Nombre de la entidad	No. de Resolución	Fecha de Resolución
1	Uno Valores de Panamá, S.A.	CNV-117-10	14-abr-10
2	BNP Paribas, S.A.	CNV-538-10	14-dic-10
3	Athena Capital, Inc.	CNV-34-11	08-feb-11
4	Mundial Valores en Administración, S.A.	CNV-74-11	18-mar-11
5	BNP Paribas Wealth Management	CNV-326-11	30-sep-11
6	Portobelo Advisors, Inc.	CNV-355-11	14-oct-11
7	Italbursátil Casa de Valores, S.A.	CNV-440-11	20-dic-11
8	Pegasus Capital Corp.	SMV-114-12	28-mar-12
9	Banco General, S.A.	SMV-54-13	05-feb-13
10	B Stocks, S.A.	SMV-212-13	12-jun-13
11	Merrill Lynch International & Co. CV.	SMV-257-14	29-may-14
12	MBL Financial Services, S.A.	SMV-663-14	30-dic-14
13	AIC Casa de Valores, S.A.	SMV-48-15	26-ene-15
14	Invertrade Asset Management, S.A.	SMV-72-15	02-feb-15
15	Bull Capital Securities, Inc.	SMV-443-15	17-jul-15
16	International Broker Services, Inc.	SMV-403-15	29-jun-15
17	Casa Real de Valores, S.A.	SMV-807-15	29-dic-15
18	Montage Securities Corporation	SMV-45-16	29-ene-16
19	The Bank of Nova Scotia (Panamá), S.A.	SMV-489-16	29-jul-16
20	Dapes Brokers Corp.	SMV-595-16	05-sep-16
21	Génesis Securities, S.A.	SMV-714-16	19-oct-16
22	Aliada Casa de Valores S.A.	SMV-741-16	02-nov-16
23	Clever Financial Investment Services S.A.	SMV-659-16	28-sep-16
24	Westside Consultants S.A.	SMV-773-16	30-nov-16
25	Securities Banistmo, S.A.	SMV-44-17	01-feb-17
26	Andprivatewealth Securities, S.A.	SMV-88-17	23-feb-17

27	Latam Investor Services Corp.	SMV-547-17	05-oct-17
28	Greenwich Dynamic, Inc.	SMV-546-17	05-oct-17
29	Verdmont Capital S.A.	SMV-639-17	21-nov-17
30	Aktiva Casa de Valores, S.A.	SMV-713-17	29-dic-17
31	Davos Asset Management Panamá S.A.	SMV-705-17	27-dic-17
32	BSI Bank (Panamá), S.A.	SMV-90-18	23-feb-18
33	Puente Casa de Valores, S.A.	SMV-183-18	26-abr-18
34	SFC Invesment S.A.	SMV-205-18	04-may-18
35	IG Capital Corp.	SMV-67-19	22-feb-19
36	Intersecurities International Inc.	SMV-120-19	04-abr-19
37	Compass Group Investments Advisors	SMV-139-19	12-abr-19
38	GNB Sudameris Bank, S.A.	SMV-194-19	14-may-19
39	Banvivienda Valores S.A.	SMV-208-19	28-may-19
40	Jai Valores, S.A.	SMV-267-19	12-jul-19
41	Globinvest Securities, S.A.	SMV-269-19	08-ago-19
42	TGE Securities, S.A.	SMV-343-19	21-ago-19
43	Capital City Securities, Inc.	SMV-364-19	11-sep-19
44	Red Tree Valores, S.A.	SMV-509-19	18-nov-19
45	Solfin Valores, S.A.	SMV-587-19	30-dic-19
46	Julius Baer Investments (Panamá), S.A.	SMV-363-20	13-ago-20
47	Union Capital Securities, Inc.	SMV-133-21	08-abr-21
48	Intelinvest Casa de Valores, S.A.	SMV-509-21	15-nov-21
49	Ultimus Financial, S.A.	SMV-229-22	13-jun-22
50	Lyon Investment Services, Corp.	SMV-402-22	12-jul-22
51	Capital Assets Consulting Inc.	SMV-357-23	08-sep-23
52	Panamerica Capital Group Inc.	SMV-387-23	28-sep-23
53	Tag Bank S.A.	SMV-445-23	17-nov-23
54	PKB Wealth Management, S.A.	SMV-90-24	08-mar-24
55	Asset Management and Investment S.A.	SMV-177-24	09-may-24
56	Perth Prime Capital, S.A.	SMV-416-24	27-nov-24
57	BG Investment Co., Inc.	SMV-156-25	23-may-25
58	MCC Seminario Securities, Inc.	SMV-400-25	03-oct-25
59	Singular Securities Corp.	SMV-401-25	03-oct-25

Nota: La cancelación de licencia es un trámite que se lleva a cabo mediante solicitud presentada por la sociedad.