SUPERINTENDENCIA DEL MERCADO DE VALORES

ASESORES DE INVERSIÓN

LICENCIAS CANCELADAS MEDIANTE PROCESOS DE LIQUIDACIÓN VOLUNTARIA

Actualizado al 30 de septiembre 2026

No.	Nombre de la entidad	No. de Resolución	Fecha de Resolución
1	EFG Securities (Panamá) Inc.	CNV-83-10	15-mar-10
2	AV Investment Advisors (Panamá) Inc.	CNV-365-10	15-sep-10
3	SG Investment Advisors (Panamá), Inc.	CNV-363-10	15-sep-10
4	Saam Investment, Inc.	CNV-71-11	18-mar-11
5	Pioneer International Financial Advisors, S.A.	CNV-85-11	30-mar-11
6	J. Safra Asset Management, S.A.	SMV-201-12	20-jun-12
7	Safdie Wealth Management Corp.	SMV-369-12	30-oct-12
8	Altavista Capital Management, Inc.	SMV-88-13	07-mar-13
9	Cainvest Wealth Management, S.A.	SMV-105-13	15-mar-13
10	Dragus International, S.A.	SMV-110-13	22-mar-13
11	Precision Capital Advisors, S.A.	SMV-269-13	16-jul-13
12	Rok Advisors, Inc.	SMV-469-13	13-nov-13
13	Anglo Far-East Investment Advisors, Inc.	SMV-159-14	31-mar-14
14	Ossa Advisors, S.A.	SMV-179-15	31-mar-15
15	Blue Stone Advisory Group, S.A.	SMV-198-15	13-abr-15
16	Mere Asesores de Inversiones, Inc.	SMV-374-15	19-jun-15
17	Alux Capital Management, S.A.	SMV-442-15	17-jul-15
18	Paudal Asset Management Corporation	SMV-446-15	21-jul-15
19	BSI Investment Advisors (Panamá) Inc.	SMV-311-16	23-may-16
20	Gamfos S.A.	SMV-511-16	09-ago-16
21	LM Wealth Management, Inc.	SMV-807-16	20-dic-16
22	Arena Advisors S.A.	SMV-805-16	20-dic-16
23	Prosperity Advisors Corp.	SMV-808-16	20-dic-16
24	Kahn Advisors Wealth Management, Inc.	SMV-806-16	20-dic-16
25	Vigilant Capital S.A.	SMV-831-16	29-dic-16
26	Banvivienda Valores S.A.	SMV-45-17	01-feb-17
27	Operadora Invictus S.A.	SMV-79-17	17-feb-17

28	Credit Suisse Asesoría (Panamá), S.A.	SMV-259-17	23-may-17
29	Platinium Asset Management Group Inc.	SMV-340-17	28-jun-17
30	PRM Capital Management, Inc.	SMV-365-17	10-jul-17
31	Mossfon Asset Management S.A.	SMV-394-17	14-jul-17
32	York & Kassing Investments Inc.	SMV-627-17	14-nov-17
33	Pacífico Global Advisors (Panamá), S.A.	SMV-688-17	19-dic-17
34	Millenia Asset Management International, S.A.	SMV-712-17	29-dic-17
35	CFM Asset Management, Inc.	SMV-706-17	28-dic-17
36	Eurofinancial Services Inc.	SMV-508-18	31-oct-18
37	Inexco Private Clients, S.A.	SMV-551-18	23-nov-18
38	Insignia Asset Management Inc.	SMV-566-18	14-dic-18
39	Athena Capital Inc.	SMV-77-19	13-mar-19
40	Andina Capital, Inc.	SMV-574-19	30-dic-19
41	Ardina Finance S.A.	SMV-146-20	13-abr-20
42	Banesco (Panamá), S.A.	SMV-66-21	18-feb-21
43	Mell Investment Group Inc.	SMV-239-21	14-may-21
44	Direct Trading Technologies, Inc.	SMV-275-21	28-may-21
45	Buena Vista Asesor de Inversiones, S.A.	SMV-480-21	26-oct-21
46	Althia Capital Advisors, Inc.	SMV-85-22	09-mar-22
47	SVB Advisors & Company, S.A.	SMV-103-22	25-mar-22
48	J. Safra Asset Management, S.A.	SMV-418-22	21-dic-22
49	Garantis Asset Management Inc.	SMV-185-23	29-may-23
50	Aegis Asset Advisors S.A.	SMV-309-23	08-ago-23
51	Union Capital Advisos, S.A.	SMV-421-23	26-oct-23
52	Gawm, S.A.	SMV-94-24	14-mar-24
53	Fortesza Advisor, S.A.	SMV-195-24	22-may-24
54	Lombard Odier (Panama), Inc.	SMV-235-24	18-jun-24
55	Privatam, Inc.	SMV-261-24	17-jul-24
56	New Age Advisors, S.A.	SMV-330-24	10-sep-24
57	VT Wealth Management Panamá, S.A.	SMV-379-24	18-oct-24
58	Índigo Wealth Management, S.A.	SMV-238-25	25-jun-25
59	Asesoría e Inversiones AAB, S.A. (ASINSA)	SMV-274-26	19-jul-26

Nota: Licencias canceladas a solicitud de la entidad.